Clinical Benefit of Baseline Imaging in Merkel Cell Carcinoma: Analysis of 584 Patients

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Capsule Summary:

- For one in eight Merkel cell carcinoma (MCC) patients with non-palpable regional nodes, baseline imaging reveals occult metastatic disease, markedly altering management and prognosis. In contrast, scans of node-negative melanoma patients are rarely beneficial (<1%).
- Baseline imaging frequently changes management in clinically node-negative as well as node-positive MCC patients.
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Abstract:

BACKGROUND: Merkel cell carcinoma (MCC) guidelines derive from melanoma and do not recommend baseline cross-sectional imaging for most patients. However, MCC is more likely to have metastasized at diagnosis than melanoma.

OBJECTIVE: To determine how often baseline imaging identifies clinically occult MCC in newly diagnosed patients with and without palpable nodal involvement.

METHODS: Analysis of 584 MCC patients with a cutaneous primary, baseline imaging, no evident distant metastases, and sufficient staging data.

RESULTS: Among 492 patients with clinically uninvolved regional nodes, 13.2% were upstaged by imaging (8.9% in regional nodes, 4.3% in distant sites). Among 92 patients with clinically involved regional nodes, 10.8% were upstaged to distant metastatic disease. Large (>4cm) and small (<1cm) primary tumors were both frequently upstaged (29.4% and 7.8%, respectively). PET-CT upstaged patients more often (16.8% of 352), than CT alone (6.9% of 231; p=0.0006).

LIMITATIONS: This was a retrospective study.

CONCLUSIONS: In clinically node-negative patients, baseline imaging revealed occult metastatic MCC at a higher rate than reported for melanoma (13.2% vs. <1%). Although imaging is already recommended for clinically node-positive MCC patients, these data suggest that baseline imaging is also indicated for clinically node-negative patients because upstaging is frequent and markedly alters management and prognosis.
Capsule Summary:

- For one in eight Merkel cell carcinoma (MCC) patients with non-palpable regional nodes, baseline imaging reveals occult metastatic disease, markedly altering management and prognosis. In contrast, scans of node-negative melanoma patients are rarely beneficial (<1%).
- Baseline imaging frequently changes management in clinically node-negative as well as node-positive MCC patients.
INTRODUCTION:

Merkel cell carcinoma (MCC) is a neuroendocrine skin cancer with an incidence of approximately 2835 cases/year in the United States and a rising burden worldwide. Typically, MCC appears as a non-specific red/purple or skin-colored asymptomatic nodule. This falsely reassuring presentation results in frequent delay of diagnosis, which when combined with the fast-growing nature of MCC, often results in regional or distant spread at presentation. Until 2017, there were no effective therapies for metastatic MCC. However, with the approval of immune checkpoint inhibitors (ICIs) that target PD-L1 (avelumab) and PD-1 (pembrolizumab and nivolumab), the prognosis of metastatic MCC has dramatically improved. Furthermore, there is emerging evidence that ICIs are more effective when tumor burden is lower, providing further impetus for early identification of metastatic disease. Indeed, several trials are currently enrolling to test whether adjuvant immunotherapy is indicated for patients who presented with high risk disease.

Malignant melanoma is approximately 35 times more common than MCC. Thus, many MCC recommendations are based on melanoma. These include the role of imaging in baseline staging. Specifically, the National Comprehensive Cancer Network (NCCN) and the Society for Surgical Oncology/American Board of Internal Medicine ‘choosing wisely’ campaign both strongly recommend against baseline cross-sectional imaging (scans) for patients presenting with localized melanoma without physical exam evidence for lymph node involvement. This recommendation is due to data suggesting that <1% of melanoma patients with localized disease are upstaged by baseline imaging, as well as a high rate of false positive scans that lead to unnecessary worry and procedures. In analogy to melanoma, current NCCN MCC guidelines do not recommend routine baseline imaging for patients presenting with clinically localized disease (clinical evidence level: expert consensus). However, compared to melanoma, national registry data show that MCC has a threefold higher chance of having
spread at diagnosis to regional and distant sites (Figure 1), suggesting melanoma-derived recommendations may not be appropriate for MCC. Furthermore, four small studies of staging by $[^{18}\text{F}]-\text{fluorodeoxyglucose (FDG Positron Emission Tomography-Computed Tomography (PET-CT)}$, ranging from 18-102 patients, have suggested that in contrast to melanoma, baseline imaging may often impact treatment and management in MCC patients. We therefore utilized our MCC registry (containing >1,400 patients) to evaluate the potential utility of baseline imaging for patients presenting with MCC without clinically evident distant metastatic spread.
METHODS:

**MCC Registry:** This cohort of MCC patients was identified from a Seattle-based repository. All patients with pathologically confirmed MCC enrolled in the repository before the data cutoff date of December 17, 2018 were considered for inclusion (n=1,439; Figure 2). All studies were performed with Fred Hutchinson IRB approval (#6585).

**MCC Baseline Imaging Analysis Set:** Patients were included in the analysis cohort (Figure 2) if they had a cutaneous primary, no symptoms of distant metastasis, baseline imaging as part of diagnostic workup (this has been routinely performed at our center since 2010), and sufficient data for MCC staging (AJCC 8th edition). Patients were excluded if presenting with MCC of unknown primary, metastatic lesions on exam, or metastatic symptoms, as these patients would routinely undergo imaging. Patients with insufficient staging information, or those who did not undergo baseline imaging, were also excluded. The final analysis set included 584 patients. Patients were diagnosed with MCC between the years 1980-2018. Age at diagnosis ranged from 11-98 years.

**Radiologic Imaging:** Baseline imaging was defined as cross-sectional imaging [CT, PET-CT, or Magnetic Resonance Imaging (MRI)] of at least the chest-abdomen-pelvis and draining node bed obtained within 3 months of pathologic documentation of MCC. Imaging was considered to be true positive if evidence for previous clinically unappreciated regional or distant metastatic spread was either confirmed pathologically or treated presumptively (separately delineated in Figure 2). Imaging was considered to be false positive if imaging was suggestive of regional or distant metastatic spread, but subsequent pathologic evaluation of the involved areas demonstrated no MCC. The report of the clinical radiologist was utilized to determine imaging node status (scans were not re-read by central radiology), in order to reflect real-world
utilization. Incidental findings (adrenal adenomas, thyroid nodules, etc.) that were not read as possibly or probably related to MCC were not counted as false positive findings.

**Statistical Analyses:** Statistical analyses were performed with Stata software, and figures generated using GraphPad PRISM software. A p-value of 0.05 was established *a priori* to be the threshold for statistical significance and two-sided p values were used for all comparisons. Distributions of continuous variables were compared with t-test (unpaired, with Welch’s correction) and contingency tables evaluated with Fisher’s exact test (2x2 tables) or chi-squared analyses (all others).

**SEER:** Deidentified, descriptive population-based registry data regarding extent of disease at presentation for MCC and melanoma (*Figure 1*) were extracted from the Surveillance, Epidemiology and End Results Registry (SEER 18 Research Registry) for all incident cases of MCC and melanoma in 2016 with associated local-regional-distant staging information.\(^{20}\) Data were extracted on May 2, 2019.
RESULTS:

**Patients Presenting with Localized MCC on Exam are Frequently Upstaged by Imaging:** A total of 492 patients presented with a cutaneous MCC primary lesion, no palpable lymph node enlargement, no signs or symptoms of disseminated MCC and underwent baseline imaging (Figure 2). From this cohort of patients with clinically localized disease, 65 patients (13.2%) were upstaged by imaging, with 44 patients (8.9%) changed to stage IIIB (radiographic nodal involvement) and 21 patients (4.3%) changed to stage IV (distant metastatic involvement) (Table 1; Figure 3). Thus, the number of patients presenting with localized MCC that is needed to image (number needed to image: NNI) to upstage one patient is 8, and to upstage a patient to distant metastatic disease is 24. There were no major differences in sex, age, or immune suppression status between upstaged and non-upstaged individuals (Table 1). However, the primary site was significantly associated with radiographic upstaging (p=0.01), with individuals presenting with tumors on the trunk most likely to be upstaged (Table 1). As expected, patients presenting with a larger primary tumor diameter were more likely to be upstaged by imaging (p<0.001; Figure 3; Table 1). However, there was no apparent cut-point below which imaging was uninformative. Specifically, even for the smallest tumor size category (1 cm) with non-palpable lymph nodes, scans upstaged 7.8% of patients (NNI=13; Figure 3). Therefore, there is clinical utility of baseline imaging for all sizes of MCC primary tumors.

Given the propensity for MCC to have delayed diagnosis, we investigated whether delay to diagnosis might be associated with higher risk of being upstaged by imaging. Although the median interval from lesion appearance to biopsy was slightly longer for upstaged patients (median 115 days; range 0-3708 days; n=59) than non-upstaged patients (median 84 days; range 0-3132 days; n=403), this did not reach statistical significance (p=0.18). Importantly, there were multiple patients with radiographic upstaging whose lesions were biopsied within two
weeks of lesion appearance, suggesting that there is no ‘early-detection’ window that would preclude the need for radiographic evaluation.

**MCC Patients Presenting with Clinically Palpable Lymph Nodes Often Have Distant Metastases Detectable by Imaging:** A total of 92 patients presented with cutaneous MCC and suspected regional involvement based on palpable lymph nodes, without signs or symptoms of distant metastatic spread. Of these, 10 (10.8%) were found to have distant metastatic spread that was later biopsy-confirmed and were thus radiographically upstaged to stage IV (Table 1; Figure 3). Although there were trends that suggested increased upstaging in patients with larger tumors, immune suppression, or tumors on an extremity, none of these relationships reached statistical significance (Table 1). The NNI to upstage patients presenting with palpable lymph nodes and suspected regional disease was 10.

**Imaging has a High Positive Predictive Value for MCC Spread:** One concern with baseline imaging is the potential for false positives resulting in unnecessary workup. In our cohort, 94% of patients (79 of 84) whose scans suggested upstaging underwent pathological evaluation of the detected lesion, thus allowing direct determination of the positive predictive value (PPV) of scans in such patients. The PPV of a scan finding suggestive of MCC spread for pathologically proven MCC was very high, 88.6% (70 of 79; all of whom underwent pathological confirmation). In the overall cohort, only 1.5% of patients (9 of 584) who underwent imaging had radiographic suggestion of MCC spread/upstaging that was later disproven pathologically. Thus, the false positive rate was one in every 65 patients.

**Imaging Does Not Replace the Need for Sentinel Lymph Node Biopsy in MCC:** A total of 412 patients presented with a cutaneous MCC primary, no palpable lymph node enlargement, no signs or symptoms of disseminated MCC and had no evidence of spread on baseline
imaging (Table 1). Of these, 126 (30.6%) had a positive node found on surgical pathologic nodal evaluation, primarily by sentinel lymph node biopsy (SLNB) (Figure 4). Of note, the denominator includes all patients and not just those who underwent SLNB, to account for the possible confounding variable of those with positive SLNB being more likely to undergo scans. Including the non-SLNB evaluated patients reduces the rate of sentinel lymph node positivity. Even with this, nearly one in three patients had a positive SLNB despite negative imaging and physical exam, and were thus upstaged to pathological stage IIA based solely on their node biopsy data.

**PET-CT Appears More Sensitive Than CT Alone:** A total of 352 patients underwent baseline PET-CT imaging, whereas 231 underwent CT alone (Tables 1,2). 16.8% of patients who underwent PET-CT imaging were upstaged, as compared to 6.9% of those who received CT only (p=0.0006).
DISCUSSION:

Merkel cell carcinoma is a skin cancer of increasing clinical impact.\textsuperscript{1,27,28} Although the field of MCC has benefited from advances in melanoma, particularly the broad utilization of SLNB\textsuperscript{29-31} and the advent of PD-1 pathway-based immunotherapy,\textsuperscript{6,9,32-34} there are important differences in MCC biology and clinical behavior that sometimes require different management. One major contrast lies in the metastatic potential of the diseases: MCC is three-times more likely to spread and recur than melanoma.\textsuperscript{20} Therefore, melanoma-derived imaging recommendations may not be appropriate. Currently, NCCN guidelines for melanoma\textsuperscript{14} indicate imaging only with documented nodal involvement (4-10% of patients upstaged)\textsuperscript{35-38} and do not recommend imaging for clinically localized disease (<1% of patients upstaged).\textsuperscript{17} MCC imaging guidelines currently reflect melanoma guidelines.\textsuperscript{19} However, two prior retrospective studies of 18\textsuperscript{21} and 61\textsuperscript{22} newly diagnosed MCC patients and two prior prospective studies of 102\textsuperscript{23} and 58\textsuperscript{24} MCC patients have all suggested that unlike melanoma, baseline imaging for MCC is frequently positive and may have clinical utility. We thus sought to use our detailed registry to evaluate the potential utility of baseline imaging in MCC.

Patients who present with clinically localized MCC represent approximately 65\%\textsuperscript{39,40} of all MCC cases and are not currently recommended to undergo baseline imaging by NCCN guidelines.\textsuperscript{19} Here we report that among a large cohort of these MCC patients (n=492), rates of radiographic upstaging were far higher than reported for melanoma (<1\%)\textsuperscript{17} and at a clinically important frequency (13.2\% or one in eight patients overall; with 8.9\% to stage IIIIB and 4.3\% to stage IV). Upstaging MCC to stage IIIIB is important because this significantly alters clinical management, prognostication,\textsuperscript{39} and trial eligibility. Furthermore, upstaging to stage IV has a dramatic impact on the appropriate next steps for treatment (generally systemic immunotherapy as opposed to surgery and radiation).\textsuperscript{19} Therefore, baseline imaging should ideally be completed prior to surgical lymph node evaluation and definitive therapy in clinically node-negative patients in
order to determine treatment based on the actual extent of disease. Due to the increased
sensitivity of PET-CT as compared to CT alone in the present cohort (as well as in prior
studies\textsuperscript{21,22,41}), PET-CT appears to be superior for baseline imaging in MCC. However, this will
need to be more formally evaluated in future studies. For MCC, based on pathologic
confirmation of scan findings, baseline imaging in our cohort had a low rate of false-positivity
(<2\%) and a high PPV (88.6\%). These findings are importantly different from melanoma. We
believe our data support a change in MCC management to include baseline cross-sectional
imaging for nearly all MCC patients, even those presenting with clinically localized disease.

Among patients who have clinically node-negative disease, our findings support the continued
utility of surgical pathologic nodal evaluation even if baseline imaging is performed and scans
are negative. 30.6\% of patients who presented with a cutaneous MCC primary, no palpable
lymph node enlargement, and no signs or symptoms of disseminated MCC had nodal
involvement (primarily via SLNB), despite no evidence of spread on baseline imaging. These
findings are consistent with prior studies and current NCCN recommendations that SLNB is an
important prognostic tool for most MCC patients, even in the absence of concerning findings on
baseline imaging.\textsuperscript{19,30,42}

For patients who present with palpable adenopathy, these findings provide support for current
guidelines suggesting benefit of baseline cross-sectional imaging for this population.\textsuperscript{19} Among
patients with palpable disease in regional lymph nodes, more than 1 in 10 (10.8\%) had distant
metastatic MCC appreciable on scans. Therefore, in most cases, imaging should precede the
initiation of definitive management such as wide local excision or node dissection.

Our study had limitations. 1) Imaging modalities were heterogeneous, and NNI would likely be
lower (baseline imaging benefit higher) had our study been restricted to PET-CT.\textsuperscript{22-24}
Furthermore, CNS imaging was infrequent and asymptomatic brain metastases may have been missed, although they are uncommon in MCC.\textsuperscript{43,44} 2) Although the NNI for baseline imaging in this cohort compares favorably to many other cancer settings where scans are routinely recommended, we did not specifically perform cost-benefit analyses to determine the economic benefit or risk of scans; this is an area that should be pursued in future studies. 3) Many patients included in this study received their treatment at a tertiary referral center, and therefore this cohort may not be fully representative of the MCC population more broadly. 4) This study was retrospective in nature. Performing large prospective imaging studies in MCC is challenging because of the low incidence of MCC, combined with the need for rapid workup and treatment initiation. Although retrospective, this study included several features to minimize bias: i) The large number of patients on whom baseline imaging information was assessed (more than five-fold larger than any previously reported study) helps to ensure more representative findings. ii) Detailed clinical and pathological information allowed determination of both true positive as well as false positive scan rates. iii) Exclusion of patients for whom a clinician would already typically order imaging (e.g. patients with an unknown primary tumor or symptoms of metastasis).

Here we report that baseline imaging frequently detects clinically occult metastatic disease in patients with MCC, including those with only localized disease as assessed by physical exam. The present study of 584 patients more than doubles the total number of informative MCC cases reported in the literature (239 patients were previously reported across four studies\textsuperscript{21-24} that address this topic), and reveals findings that are consistent with the prior reports. In aggregate, these studies uniformly support the benefit of routinely including baseline imaging in MCC management (unless age or comorbidities suggest only palliative care is appropriate), prior to initiation of definitive locoregional therapy.
REFERENCES:


TABLE 1: Baseline Characteristics

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<th>Characteristic</th>
<th>Significance</th>
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<th>Patients with Palpable Lymph Nodes</th>
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<td>No radiographic evidence of spread</td>
<td>Radiographically identified</td>
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<tr>
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<td>(n=427), No (%)*</td>
<td>regional or distant metastasis</td>
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<td>(n=65), No (%)*</td>
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<tr>
<td>Sex</td>
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<td>Regional or distant metastasis (n=65)</td>
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<td>Median (range)</td>
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<td>p=0.0013</td>
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<td>PET-CT (n=306)</td>
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<td>CT only (n=186)</td>
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</table>

*Values are numbers of patients, with percentages in parentheses, unless otherwise noted. PET-CT: Positron emission tomography–computed tomography; CT: computed tomography; MRI: Magnetic resonance imaging.
Figure Legends:

FIGURE 1. Merkel cell carcinoma and Melanoma. Frequency of Regional or Distant Metastasis at Presentation.
Data was extracted from SEER; all cases of MCC (n=596) and melanoma (n=22,287) diagnosed in the year 2016 and reported to SEER with sufficient stage information. MCC has clinically and statistically significantly higher rates of both regional and distant spread at presentation (p<0.0001).

1 Patients excluded from present study due to insufficient staging data, of which n=210 did not receive baseline imaging.
2 Patients excluded from present study for whom imaging studies would be routinely indicated (unknown primary or signs and symptoms of metastatic spread of disease).
3 Patient staging is unaffected by imaging due to presence of in-transit lesion.
4 Upstaged to IIIB (n=39 to p-IIIB by surgical pathologic evaluation, n=5 to c-IIIB by scan only).

(Top) Three representative cases for whom baseline imaging revealed asymptomatic distant metastatic disease that was not appreciated by medical history or physical exam. Metastases were subsequently biopsy proven. (Top Left) 55 yo woman who presented with a 1 cm MCC primary on the left medial chest (resected prior to imaging); PET-CT revealed multiple hepatic metastases. (Top Middle) 85 yo man presenting with a 10 cm primary on the left buttock found to have a right atrial metastasis. (Top Right) 74 yo man who presented with a 1 cm primary of the left temple and underwent SLNB with involvement of sentinel node. Subsequent PET-CT
revealed additional involved regional lymph nodes (not sampled in SLNB procedure) and distant hepatic metastases.

(Bottom Left) Utility of baseline imaging in MCC patients presenting without adenopathy on physical exam. Overall, 65 of 492 patients (13.2%) were found to have previously unappreciated nodal or distant metastatic spread on baseline imaging, and 7 of 492 patients (1.4%) had false-positive imaging. P=0.0013 for trend by primary (1°) tumor size.

(Bottom Right) Utility of baseline imaging in MCC patients presenting with adenopathy on physical exam. Overall, 10 of 92 patients (10.8%) were found to have previously unappreciated distant metastatic disease and 2 of 92 patients (2.2%) had false-positive imaging. P=0.27 (not significant) for trend by primary tumor size.

FIGURE 4. Merkel cell carcinoma. Utility of Lymph Node Biopsy in MCC Patients with Clinically Localized Disease by both Exam and Baseline Imaging.

Outcomes of pathological nodal evaluation are shown for patients with clinically localized disease by both exam/history and baseline imaging (n=412). ‘Not done’ indicates pathological nodal evaluation was not performed (these patients are included in the analysis set to avoid falsely elevating the rate of SLNB utility by clinician bias towards performing SLNB in higher-risk clinical scenarios).
FIGURE 1. Merkel cell carcinoma and Melanoma. Frequency of Regional or Distant Metastasis at Presentation.
Figure 4. Merkel cell carcinoma. Utility of Lymph Node Biopsy in MCC Patients with Clinically Localized Disease by both Exam and Baseline Imaging.